GO Investment Partners

SFDR Disclosure

GO INVESTMENT PARTNERS AND GOVERNANCE FOR OWNERS JAPAN SFDR DISCLOSURES

This Statement relates to Website and Prospectus (DD Pack and Marketing Material) disclosures required under the Regulation (EU) 2019/2088 of the European Parliament and of the Council on sustainability-related disclosures in the financial services sector (EU Sustainable Finance Disclosure Regulation (EU SFDR)).

GO Investment Partners LLP (GOIP), based in London, UK, is a Joint Venture Partner in the management of the TMAM-GO Japan Engagement Fund (JEF), which is owned by its investors through an English LP structure, Delaware and Cayman Islands structure and various Japanese structures. The latter structures are controlled by the other Joint Venture Partner Tokio Marine Asset Management Co., Ltd (TMAM) of Tokyo, Japan.

JEF is investing in a limited number of small and medium cap companies listed on the Tokyo Stock Exchange and seek to outperform the TOPIX Mid 400 index by way of engaging with the aforementioned listed companies relating to ESG (Environmental, Social and Governance) factors and specifically strategic, financial and governance issues.

Governance for Owners Japan KK (GOJ) is owned by GOIP (60%) and TMAM (40%) and is providing ESG consultancy services to engage on behalf of its clients with companies listed on the Tokyo Stock Exchange.

Based on the above, we conclude that JEF is under Article 6 products under the SDR as we always integrate ESG considerations into our investment decision making process. As Governance rather than Environmental and Social (E&S) issues are at the heart of JEF, with E&S factors important but secondary to G issues, we conclude that it does not fall under Articles 8 or 9.

Transparency of sustainability risk policies at entity level

(Article 3 of the SFDR)

The business model of GOIP through the JEF fund and GOJ through its engagement services is entirely based on addressing ESG issues broadly and Governance issues in particular and primarily, as we believe that with good Governance at Board and management levels, the likelihood of problems and risks relating to E&S issues is much less prevalent.

Before investing in a new portfolio company in JEF and before taking on new engagement tasks by GOJ, ESG risks will be fully included in the Agenda for Change established for each company taken on as an investment or as an engagement target. We the address these issues throughout the entire period until divestment of the investee company in question / the termination of the contract re engagement. Details are set out in our Investment process documents and clients' contracts.

GOIP is a signatory of the UN PRI (United Nations Principles for Responsible Investment) since 2006 and GOJ is a signatory since 2012.

Transparency of adverse sustainability impacts at entity level

(Article 4 of the SFDR)

Our business model, as outlined above, address action outlined in the Agenda for Change for every company with which we engage as an investee company or target company for engagement. This action specifies what we believe ought to be changed at the listed company in question and could relate to sustainability impacts, which would initially be identified as risks.

Our JEF fund does not *per se* set targets to improve E&S factors, nor does GOJ vis-à-vis its contracts, unless required by its client in question. We do, however, encourage the listed companies with which we engage to set such targets themselves and to join sustainability initiatives. This includes e.g. setting climate change objectives, reporting carbon footprint and signing up to the TCFD (Task Force on Climate-Related Financial Disclosures) as a supporter. We became ourselves a Supporter of the TCFD in February 2020.

Transparency of remuneration policies in relation to the integration of sustainability risks

(Article 5 of the SFDR)

The remuneration of management and staff of GOIP and GOJ is not directly linked to specific ESG factors or risks, but as our business model is closely linked to improving / removing these our performance will be linked indirectly. If the improvement / removal is successful, the performance of the listed companies in which we invest and/or with which we engage will improve and this will transform into higher remuneration at an overriding level.

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